

Newform theory for Jacobi forms of lattice index

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1. Setup

- $e(x) = e^{2\pi i x}$, $\mathfrak{H} := \{z \in \mathbb{C} : \Im(z) > 0\}$, Γ denotes $SL_2(\mathbb{Z})$ and

$$\Gamma_0(m) := \left\{ \begin{pmatrix} * & * \\ 0 \bmod m & * \end{pmatrix} \right\} \cap \Gamma$$

- fix k in \mathbb{N} and a lattice $\underline{L} = (L, \beta)$ over \mathbb{Z} which is
 - **positive-definite**: $\beta(\lambda, \lambda) > 0$ for all λ in L
 - **even**: $\beta(\lambda, \lambda) := \frac{\beta(\lambda, \lambda)}{2} \in \mathbb{Z}$
- the **rank** of \underline{L} is the rank of L as a \mathbb{Z} -module: $L \simeq \mathbb{Z}^{\text{rk}(\underline{L})}$
- the **dual** of \underline{L} is $L^\# := \{t \in L \otimes_{\mathbb{Z}} \mathbb{Q} : \beta(t, \lambda) \in \mathbb{Z} \text{ for all } \lambda \text{ in } L\}$
- the **level** of \underline{L} is $\text{lev}(\underline{L}) := \min\{N \in \mathbb{N} : N\beta(t) \in \mathbb{Z} \text{ for all } t \text{ in } L^\#\}$
- the integral **Jacobi group** $J^{\underline{L}} := SL_2(\mathbb{Z})u \ltimes L^2$ acts $\mathfrak{H} \times (L \otimes_{\mathbb{Z}} \mathbb{C})$:

$$\left[\begin{pmatrix} a & b \\ c & d \end{pmatrix}, (\lambda, \mu) \right] (\tau, \mathfrak{z}) := \left(\frac{a\tau + b}{c\tau + d}, \frac{\mathfrak{z} + \tau\lambda + \mu}{c\tau + d} \right)$$

- $J^{\underline{L}}$ acts on holomorphic functions $\varphi : \mathfrak{H} \times (L \otimes_{\mathbb{Z}} \mathbb{C}) \rightarrow \mathbb{C}$ via:

$$\begin{aligned} \varphi|_{k, \underline{L}} \gamma(\tau, \mathfrak{z}) &:= \varphi(\gamma(\tau, \mathfrak{z})) (c\tau + d)^{-k} \\ &\times e \left(\frac{-c\beta(\mathfrak{z} + \lambda\tau + \mu)}{c\tau + d} + \tau\beta(\lambda) + \beta(\lambda, \mathfrak{z}) \right) \end{aligned}$$

Definition

A function φ as above is a Jacobi form of weight k and index \underline{L} if

- ❶ $\varphi|_{k,\underline{L}}\gamma(\tau, \mathfrak{z}) = \varphi(\tau, \mathfrak{z})$ for all γ in $J^{\underline{L}}$ and
- ❷ φ has a Fourier expansion of the form

$$\varphi(\tau, \mathfrak{z}) = \sum_{\substack{n \in \mathbb{Z}, t \in L^\# \\ n \geq \beta(t)}} c_\varphi(n, t) e(n\tau + \beta(t, \mathfrak{z})).$$

- $J_{k,\underline{L}} := \{\text{space of } \varphi \text{ as above}\}$
- $c_\varphi(n, t)$ only depends on $t \bmod L$ and on $n - \beta(t)$, so we can write

$$\varphi(\tau, \mathfrak{z}) = \sum_{\substack{D \in \mathbb{Q}_{\leq 0}, t \in L^\# \\ D \equiv \beta(t) \bmod \mathbb{Z}}} C_\varphi(D, t) e((\beta(t) - D)\tau + \beta(t, \mathfrak{z}))$$

with $C_\varphi(D, t) := c_\varphi(\beta(t) - D, t)$

- $S_{k,\underline{L}} := \{\varphi \in J_{k,\underline{L}} : C_\varphi(0, t) = 0 \text{ for all } t \in L^\# \text{ such that } \beta(t) \in \mathbb{Z}\}$

2. Examples

- $g_{\underline{L}, D, t}(\tau, \mathfrak{z}) := e((\beta(t) - D)\tau + \beta(t, \mathfrak{z}))$
- $J_{\infty}^{\underline{L}} := \{((\begin{smallmatrix} 1 & n \\ 0 & 1 \end{smallmatrix}), (0, \mu)) : n \in \mathbb{Z}, \mu \in \underline{L}\}$
- for every pair (D, t) such that $D \in \mathbb{Q}_{<0}$, $t \in L^{\#}$ and $\beta(t) \equiv D \pmod{\mathbb{Z}}$, define the *Poincaré series*

$$P_{k, \underline{L}, D, t}(\tau, \mathfrak{z}) := \sum_{\gamma \in J_{\infty}^{\underline{L}} \setminus J \underline{L}} g_{\underline{L}, D, t}|_{k, \underline{L}} \gamma(\tau, \mathfrak{z})$$

and, for every r in $L^{\#}$ such that $\beta(r) \in \mathbb{Z}$, define the *Eisenstein series*

$$E_{k, \underline{L}, r}(\tau, \mathfrak{z}) := \frac{1}{2} \sum_{\gamma \in J_{\infty}^{\underline{L}} \setminus J \underline{L}} g_{\underline{L}, 0, r}|_{k, \underline{L}} \gamma(\tau, \mathfrak{z})$$

- set $\text{Iso}(\underline{L}) := \{r \in L^{\#}/L : \beta(r) \in \mathbb{Z}\}$ and $J_{k, \underline{L}}^{\text{Eis}} := \{E_{k, \underline{L}, r} : r \in \text{Iso}(\underline{L})\}$

Theorem (M. 2017)

The Poincaré series span $S_{k,\underline{L}}$ and

$$J_{k,\underline{L}} = S_{k,\underline{L}} \oplus J_{k,\underline{L}}^{\text{Eis}}.$$

- meaning:

- $k > \text{rk}(\underline{L}) + 2$ for Poincaré series and $k > \frac{\text{rk}(\underline{L})}{2} + 2$ for Eisenstein series
- $P_{k,\underline{L},D,t} \in S_{k,\underline{L}}$ and $E_{k,\underline{L},r} \in J_{k,\underline{L}}$
- \exists constants $\lambda_{k,\underline{L},D}$ in \mathbb{C} such that $\langle \varphi, P_{k,\underline{L},D,t} \rangle = \lambda_{k,\underline{L},D} C_{\varphi}(D, t)$ for every φ in $S_{k,\underline{L}}$
- $\langle J_{k,\underline{L}}^{\text{Eis}}, S_{k,\underline{L}} \rangle = 0$

- Fourier expansions: $C_{P_{k,\underline{L},D,t}}(0, s) = 0$ and

$$\begin{aligned} C_{P_{k,\underline{L},D,t}}(G, s) := & \delta_{\underline{L}}(D, t, G, s) + (-1)^k \delta_{\underline{L}}(D, -t, G, s) + \frac{2\pi i^k}{\det(\underline{L})^{\frac{1}{2}}} \\ & \times \left(\frac{G}{D} \right)^{\frac{k}{2} - \frac{\text{rk}(\underline{L})}{4} - \frac{1}{2}} \sum_{c \geq 1} c^{-\frac{\text{rk}(\underline{L})}{2} - 1} J_{k - \frac{\text{rk}(\underline{L})}{2} - 1} \left(\frac{4\pi(DG)^{\frac{1}{2}}}{c} \right) \\ & \times \left(H_{\underline{L},c}(D, t, G, s) + (-1)^k H_{\underline{L},c}(D, -t, G, s) \right), \end{aligned}$$

with $H_{\underline{L},c}(D, t, G, s)$ equal to

$$\sum_{d \in (\mathbb{Z}/c\mathbb{Z})^{\times}, \lambda \in L/cL} e_c \left(\beta(\lambda + t) - D \right) d^{-1} + \left(\beta(s) - G \right) d + \beta(s, \lambda + t)$$

- the Dedekind η -function

$$\eta(\tau) := q^{\frac{1}{24}} \prod_{n \geq 1} (1 - q^n)$$

is an elliptic modular form of weight $1/2$ for Γ ; the Jacobi theta series

$$\vartheta(\tau, z) := \sum_{n \in \mathbb{Z}} \left(\frac{-4}{n} \right) e \left(\tau \frac{n^2}{8} + \frac{nz}{2} \right)$$

is a Jacobi form of weight $\frac{1}{2}$ and scalar index $\frac{1}{2}$

- for $2 \leq k \leq 8$, the function

$$\psi_{12-k, D_k}(\tau, \mathfrak{z}) := \eta(\tau)^{24-3k} \vartheta(\tau, z_1) \dots \vartheta(\tau, z_k)$$

is an element of $J_{12-k, D_k}(\mathfrak{z} = (z_1, \dots, z_k))$ and in fact an element of S_{12-k, D_k} for $k \leq 7$ (note that $D_3 = A_3$)

- define

$$\Theta(\tau, z_1, z_2) := \vartheta(\tau, z_1)\vartheta(\tau, z_2 - z_1)\vartheta(\tau, z_2)/\eta(\tau) \in J_{1,A_2}(v_\eta^8);$$

then

$$\psi_{9,A_2}(\tau, \mathfrak{z}) := \eta^{16}(\tau)\Theta(\tau, z_1, z_2) \in S_{9,A_2}$$

$$\psi_{6,2A_2}(\tau, \mathfrak{z}) := \eta^8(\tau)\Theta(\tau, z_1, z_2)\Theta(\tau, z_3, z_4) \in S_{6,2A_2}$$

$$\psi_{3,3A_2}(\tau, \mathfrak{z}) := \Theta(\tau, z_1, z_2)\Theta(\tau, z_3, z_4)\Theta(\tau, z_5, z_6) \in J_{3,3A_2}$$

- Gritsenko–Skoruppa–Zagier (preprint): *Theta blocks*
- for every t in $L^\#/L$, define

$$\vartheta_{\underline{L},t}(\tau, \mathfrak{z}) := \sum_{\substack{s \in L^\# \\ s \equiv t \pmod{L}}} e(\beta(s)\tau + \beta(s, \mathfrak{z}));$$

- if \underline{L} is an even, unimodular lattice, then $\vartheta_{\underline{L},0}$ is an element of $J_{\frac{\text{rk}(\underline{L})}{2}, \underline{L}}$
- in general, $E_{k,\underline{L},r} = \frac{1}{2} (\vartheta_{\underline{L},r} + (-1)^k \vartheta_{\underline{L},-r}) + \dots$

3. Some applications

- Gritsenko (1988): introduces Jacobi forms of lattice index as *Fourier–Jacobi coefficients* of *orthogonal modular forms*
- embed \underline{L} into a lattice of signature $(2, \text{rk}(\underline{L}) + 2)$: $\underline{M} = H_1 \oplus \underline{L}(-1) \oplus H_2$
- write $Z = (\omega, \mathfrak{z}, \tau) \in \mathcal{H}(\underline{M})$ and embed $J^{\underline{L}}$ into $O^+(\underline{M})$ as

$$O^J := \left\{ \text{diag}(A^*, E_{\text{rk}(\underline{L})}, A) \begin{pmatrix} 1 & 0 & \mu^t G & \beta(\lambda, \mu) & \beta(\mu) \\ 0 & 1 & \lambda^t G & \beta(\lambda) & 0 \\ 0 & 0 & E_{\text{rk}(\underline{L})} & \lambda & \mu \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix} \right\}$$

where $A^* = I(A^t)^{-1}I$ and $I = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

- then $\varphi \in J_{k, \underline{L}} \iff \Phi(Z) := \varphi(\tau, \mathfrak{z})e(2\pi i\omega) \in M_k(O^J)$
- one can *lift* Jacobi forms to *reflective modular forms*
- some RMFs are the *automorphic discriminants* of moduli spaces (e.g. lattice polarized $K3$ surfaces - Gritsenko–Nikulin (1996))
- this allows for the construction of modular varieties (e.g. Gritsenko (2010): modular varieties of Calabi–Yau type of dim 4, 6 and 7 and Kodaira dim 0)
- if a RMF is a lift of a Jacobi form, then one obtains a simple formula for its Fourier coefficients at a 0-dim cusp; these determine the generators and relations of Lorentzian Kac–Moody algebras (Gritsenko–Nikulin (1997))

Conjecture \star (Ajouz, 2015)

If $rk(\underline{L})$ is odd, then there exists a Hecke-equivariant isomorphism

$$J_{k,\underline{L}} \simeq \mathfrak{M}_{2k-rk(\underline{L})-1}^{\varepsilon}(\text{lev}(\underline{L})/4),$$

where $\varepsilon = -1$ if $rk(\underline{L}) \equiv 1$ or $3 \pmod{8}$ and $\varepsilon = 1$ otherwise.

- $W_m := \begin{pmatrix} 0 & -1 \\ m & 0 \end{pmatrix}$ and $M_k^{\varepsilon}(m) := \{f \in M_k(m) : f|_k W_m = \varepsilon i^{-k} f\}$
- $f \in M_k^{\varepsilon}(m) \implies \Lambda_m(f, s) = \varepsilon \Lambda_m(f, k-s)$
- every Hecke eigenform f in $M_k(m)$ “comes from” a newform g in $M_k(n)$

$$\frac{L(f, s)}{L(g, s)} = \prod_{p \mid \frac{m}{n}} Q_p(s)$$

- if f is an eigenform for all Atkin–Lehner involutions, then every Q_p has a functional equation

$$Q_p(k-s) = \pm p^{-v_p(m/n)(k-2s)} Q_p(s)$$

- let $\mathfrak{M}_k(m)$ denote the subspace spanned by all f for which the sign in the above equation is $+$ for all $p \mid (m/n)$; set $\mathfrak{M}_k^{\varepsilon}(m) := \mathfrak{M}_k(m) \cap M_k^{\varepsilon}(m)$

- this was proved for $\text{rk}(\underline{L}) = 1$ by Skoruppa–Zagier (1988) by using *trace formulas* and the theory of *newforms*
 - the result was used by Gross–Kohnen–Zagier (1987) to show that the classes of Heegner points on a modular curve $X_0(m)$ in the Mordell–Weil group of its Jacobian are the coefficients of a Jacobi form of weight 2 and index m

Goal

Study Ajouz’s conjecture.

- intermediate goal: develop a theory of newforms, meaning

Given $J_{k,\underline{L}}$, how many of its elements ‘come from’ Jacobi forms of weight k and index \underline{M} with $\text{lev}(\underline{M}) \mid \text{lev}(\underline{L})$?

- Conjecture \star :

$$J_{k,\underline{L}} \simeq \mathfrak{M}_{2k-1-\text{rk}(\underline{L})}^{\pm 1}(\text{lev}(\underline{L})/4),$$

- Sakata (2018):

$$S_{k,1}^{\text{new},+}(m) \simeq S_{k,m}^{\text{new}}$$

4. Hecke operators and the action of the orthogonal group

- defined by Ajouz (2015) for $(\ell, \text{lev}(\underline{L})) = 1$:

$$T_0(\ell)\varphi := \ell^{k-\text{rk}(\underline{L})-2} \sum_{\gamma \in J\underline{L} \setminus J\underline{L} \begin{pmatrix} 1/\ell & 0 \\ 0 & \ell \end{pmatrix} J\underline{L}} \varphi|_{k, \underline{L}} \gamma$$

- then

$$T(\ell)\varphi := \begin{cases} \sum_{d^2|\ell} d^{2k-\text{rk}(\underline{L})-3} T_0\left(\frac{\ell}{d^2}\right) \varphi, & \text{rk}(\underline{L}) \text{ odd} \\ \sum_{\substack{s, d > 0 \\ sd^2|\ell, s \text{ square-free}}} \chi_{\underline{L}}(s) (sd^2)^{k-\frac{\text{rk}(\underline{L})}{2}-2} T_0\left(\frac{\ell}{sd^2}\right) \varphi, & \text{rk}(\underline{L}) \text{ even} \end{cases}$$

- they map $J_{k, \underline{L}}$ to itself and they *preserve* cusp forms and Eisenstein series

- they are *Hermitian*: $\langle T(\ell)\varphi, \psi \rangle = \langle \varphi, T(\ell)\psi \rangle$
- they *commute*:

$$T(\ell)T(m) = \begin{cases} \sum_{d|(\ell, m)} d^{2k - \text{rk}(\underline{L}) - 2} T(\ell m / d^2) \varphi, & \text{rk}(\underline{L}) \text{ odd} \\ \sum_{d|(\ell^2, m^2)} \chi_{\underline{L}}(d) d^{k - \frac{\text{rk}(\underline{L})}{2} - 1} T(\ell m / d) \varphi, & \text{rk}(\underline{L}) \text{ even,} \end{cases}$$

- a well-known result from Linear Algebra implies the following:

Theorem

The space $S_{k, \underline{L}}$ has a basis of simultaneous eigenforms for all $T(\ell)$.

- let φ be an *eigenform* of all $T(\ell)$: $T(\ell)\varphi = \lambda(\ell)\varphi$; if $\text{rk}(\underline{L})$ is odd, then

$$L(s, \varphi) := \sum_{\substack{\ell \in \mathbb{N} \\ (\ell, \text{lev}(\underline{L}))=1}} \lambda(\ell) \ell^{-s} = \prod_{(p, \text{lev}(\underline{L}))=1} \left(1 - p^{-2} \lambda(p) + p^{2k - \text{rk}(\underline{L}) - 2 - 2s} \right)^{-1}$$

- correspondence for $\text{rk}(\underline{L})$ even:

$$J_{k, \underline{L}} \rightsquigarrow M_{k - \frac{\text{rk}(\underline{L})}{2}}(?, \chi_{\underline{L}} \xi)$$

- let $r \in \text{Iso}(\underline{L})$ and let χ be a primitive Dirichlet character modulo F for some $F \mid \text{ord}(r)$; define the *twisted* Eisenstein series

$$E_{k,\underline{L},r,\chi} := \sum_{d \in (\mathbb{Z}/\text{ord}(r)\mathbb{Z})^\times} \chi(d) E_{k,\underline{L},dr}$$

Theorem (Ajouz, 2015)

The twisted Eisenstein series $E_{k,\underline{L},r,\chi}$ (r as above modulo $(\mathbb{Z}/\text{lev}(\underline{L})\mathbb{Z})^\times$ and χ as above with $\chi(-1) = (-1)^k$) form a system of Hecke eigenforms for $J_{k,\underline{L}}^{\text{Eis}}$ with eigenvalues

$$\lambda(\ell) = \begin{cases} \sigma_{2k - \text{rk}(\underline{L}) - 2}^{\chi, \bar{\chi}}(\ell), & \text{rk}(\underline{L}) \text{ odd} \\ \frac{\chi(\ell)}{\chi(\ell)} \sigma_{k - \frac{\text{rk}(\underline{L})}{2} - 1}^{\chi, \chi \underline{L}}(\ell^2), & \text{rk}(\underline{L}) \text{ even.} \end{cases}$$

- the *discriminant module* associated to \underline{L} is $D_{\underline{L}} := (L^{\#}/L, \beta \bmod \mathbb{Z})$
- the *orthogonal group* of $D_{\underline{L}}$ is $O(D_{\underline{L}}) := \{s : D_{\underline{L}} \xrightarrow{\sim} D_{\underline{L}} : \beta \circ s = \beta\}$
- Ajouz (2015): there exists an action of $O(D_{\underline{L}})$ on $J_{k,\underline{L}}$

$$(s, \varphi) \mapsto W(s)\varphi(\tau, \mathfrak{z}) := \sum_{D,t} C_{\varphi}(D, s(t)) e((\beta(t) - D)\tau + \beta(t, \mathfrak{z}))$$

- these operators *commute* with $T(\ell)$; therefore $W(s)\varphi$ and φ have the same Hecke eigenvalues if φ is an eigenform
- an element α in $L^{\#}/L$ such that $\text{ord}(\alpha) = \text{lev}(\alpha)$ or $2\text{ord}(\alpha) = \text{lev}(\alpha)$ is called *admissible*
- for every admissible α , consider the *reflection map*

$$s_{\alpha}(t) := t - \frac{\beta(t, \alpha)}{\beta(\alpha)} \alpha$$

Lemma

The maps s_{α} are elements of $O(D_{\underline{L}})$ and involutions.

Example

Skoruppa (1984) defines an operator on $J_{k,m}$ for every $n \parallel m$:

$$W_n \varphi(\tau, z) = \sum_{D,t} C(D, \lambda_n t) e((mt^2 - D)\tau + 2tz),$$

where λ_n is uniquely determined modulo $2m$ by the modular equations

$$\lambda_n \equiv -1 \pmod{2n}$$

$$\lambda_n \equiv 1 \pmod{\frac{2m}{n}}.$$

- it can be shown that $W_n = W(s_\alpha)$ for some α in $\frac{1}{2m}\mathbb{Z}/\mathbb{Z}$
- the W_n are called Atkin–Lehner involutions by Skoruppa–Zagier (1988), because

$$\mathrm{tr}(T(l) \circ W_n, J_{k,m}) = \mathrm{tr}(T(l) \circ W_n, \mathfrak{M}_{2k-2}^-(m))$$

Proposition (M. 2018)

The operators $W(s)$ are unitary for all s in $O(D_{\underline{L}})$ and they are Hermitian when s is a reflection.

5. Level raising operators

1) The V operators

- Eichler–Zagier (1985):

$$V(\ell) : \varphi(\tau, z) \mapsto \frac{1}{\ell} \sum_{ad=\ell} a^k \sum_{b \bmod d} \varphi\left(\frac{a\tau + b}{d}, az\right)$$

maps $J_{k,m}$ to $J_{k,m\ell}$ ($\ell \in \mathbb{N}$)

- Gritsenko (1988) constructs these operators for arbitrary lattice index \underline{L} using the embedding of $J_{k,\underline{L}}$ into $M_k(O^J)$:
 - remember elliptic Hecke operators

$$T(\ell) := \sum_{\substack{ad=\ell \\ a|d}} SL_2(\mathbb{Z}) \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} SL_2(\mathbb{Z})$$

- set

$$G^J := \left\{ \begin{pmatrix} A^* & X_1 & T \\ 0 & E_{\text{rk}(\underline{L})} & X \\ 0 & 0 & A \end{pmatrix} \in SO_{\mathbb{Q}}(\underline{M}) : \det(A) > 0 \right\}$$

- consider the following embedding of $\mathcal{H}(SL_2(\mathbb{Z}), M_2^+(\mathbb{Z}))$ into $\mathcal{H}(O^J, G^J)$:

$$\iota : SL_2(\mathbb{Z}) A SL_2(\mathbb{Z}) \mapsto O^J \text{diag} \left((\det(A)^{-1} A)^*, E_{\text{rk}(\underline{L})}, \det(A)^{-1} A \right) O^J$$

- if $O^J g O^J = \sum_i O^J g_i$, then set

$$\varphi| \left(O^J g O^J \right) (\tau, \mathfrak{z}) := \sum_i \Phi|_k g_i(Z) e \left(\frac{-\omega}{\det(A)} \right)$$

Proposition (Gritsenko, 1988)

If $\varphi \in J_{k, \underline{L}}$ and $O^J g O^J$ is an element of $\mathcal{H}(O^J, G^J)$, then

$$\varphi| \left(O^J g O^J \right) \in J_{k, \underline{L}(1/\det(A))}.$$

- we have $V(\ell) = \frac{1}{\ell} \iota(T(\ell))$

Corollary

It follows that $V(\ell)$ maps $J_{k, \underline{L}}$ to $J_{k, \underline{L}(\ell)}$, raising the level by a factor of ℓ . Furthermore, the $V(\cdot)$ operators commute.

- the $V(\cdot)$ operators are precisely the ones used for additive liftings:

- $\varphi \in J_{k,\underline{L}} \implies \sum_{\ell \geq 1} V(\ell) \varphi(\tau, \mathfrak{z}) e(\ell \omega) \in M_k \left(\tilde{O}^+(\underline{M}) \right)$

- $\varphi \in J_{k,1} \implies \sum_{\ell \geq 1} V(\ell) \varphi(\tau, z) e(\ell w) \in M_k^*(\Gamma_2)$

- note that

$$V(\ell) \varphi(\tau, \mathfrak{z}) = \sum_{\substack{n \in \mathbb{Z}, t \in L^\# \\ \ell n \geq \beta(t)}} \sum_{\substack{d | (n, \ell) \\ \frac{t}{d} \in L^\#}} d^{k-1} c_\varphi \left(\frac{n\ell}{d^2}, \frac{t}{d} \right) e(n\tau + \beta(t, \mathfrak{z})),$$

- in particular, they *preserve* cusp forms and Eisenstein series

II) The U operators

- Eichler–Zagier (1985): $U(\ell) : \varphi(\tau, z) \mapsto \varphi(\tau, \ell z)$ maps $J_{k,m}$ to $J_{k,m\ell^2}$
- let $\underline{L} = (L, \beta)$ and $\underline{L}' = (L', \beta')$ be two lattices; an *isometry* of \underline{L} into \underline{L}' is an *injective* linear map $\sigma : L \otimes_{\mathbb{Z}} \mathbb{Q} \rightarrow L' \otimes_{\mathbb{Z}} \mathbb{Q}$ such that

$$\beta' \circ \sigma = \beta \quad \text{and} \quad \sigma \underline{L} \subseteq \underline{L}'$$

Definition

For every isometry σ of \underline{L} into \underline{L}' , define a linear operator $U(\sigma)$ from $J_{k,\underline{L}'}$ to $\text{Hol}(\mathfrak{H} \times (L \otimes_{\mathbb{Z}} \mathbb{C}) \rightarrow \mathbb{C})$ as

$$U(\sigma)\varphi(\tau, z) := \varphi(\tau, \sigma(z)).$$

Proposition (M. 2018)

When $L \otimes_{\mathbb{Z}} \mathbb{Q} \simeq L' \otimes_{\mathbb{Z}} \mathbb{Q}$, the operator $U(\sigma)$ maps $J_{k,\underline{L}'}$ to $J_{k,\underline{L}}$.

- for example, the map $\sigma_{\ell} : \mathbb{Q} \rightarrow \mathbb{Q}, \sigma_{\ell}(x) = \ell x$ is an isometry of $(\mathbb{Z}, (x, y) \mapsto 2m\ell^2 xy)$ into $(\mathbb{Z}, (x, y) \mapsto 2mxy)$ and $U(\sigma_{\ell}) = U(\ell)$ maps $J_{k,m}$ to $J_{k,m\ell^2}$

- for every isotropic subgroup I of $D_{\underline{L}}$, set $\underline{L}_I := (L + I, \beta)$
- we obtain an operator $U(I) = U(\iota_{\underline{L}, \underline{L}_I})$ which acts as an inclusion map of J_{k, \underline{L}_I} into $J_{k, \underline{L}}$

Theorem (M. 2018)

The operators $U(\cdot)$ and $V(\cdot)$ commute with each other. They commute with the Hecke operators. Furthermore, if an admissible element α in $L^\# / L$ is such that $(\text{lev}(\alpha), \ell) = 1$, then $V(\ell)W(s_\alpha) = W(s_\alpha)V(\ell)$. If I is an isotropic subgroup of $D_{\underline{L}}$ and α is admissible both in $L^\# / L$ and in $L_I^\# / L_I$, then $U(I)W(s_\alpha) = W(s_\alpha)U(I)$.

Definition

An **oldform** in $J_{k, \underline{L}}$ is an element φ which is either equal to $V(\ell)\psi$ for some ℓ in \mathbb{N} and some ψ in $J_{k, \underline{L}(1/\ell)}$ or it is an element of J_{k, \underline{L}_I} for some isotropic subgroup I of $D_{\underline{L}}$.

Lemma

If $\varphi \in J_{k, \underline{L}}$ is such that $C_\varphi(D, r) = 0$ for r not in $L_I^\#$, then φ is an oldform.

Proposition (M. 2018)

The following holds for every s in $O(D_{\underline{L}})$:

$$W(s)E_{k,\underline{L},r,\chi} = E_{k,\underline{L},s^{-1}(r),\chi}.$$

Proposition (M. 2018)

The following holds for every ℓ in \mathbb{N} and every isotropic I in $D_{\underline{L}}$:

$$V(\ell)U(I)E_{k,\underline{L}_I,r} = \sum_{\substack{t \in L(\ell)^\# / L \\ \ell\beta(t) \in \mathbb{Z}}} \sum_{d | (\ell\beta(t), \ell)} d^{k-1} \delta_{\underline{L}_I} \left(r, \frac{\ell t}{d} \right) E_{k,\underline{L}(\ell),t}$$

Proposition

If $F \neq \text{ord}(r)$, then $E_{k,\underline{L},r,\chi}$ is an oldform.

To do

- is the above criterion for oldforms *exhaustive*?
- are there more $V(\cdot)$ operators?
 - guess: no
- do we have *Multiplicity One*?
- there should be more *old* Eisenstein series
 - when $\text{rk}(\underline{L}) = 1$, all Eisenstein series are old if m is not a square and, if $m = f^2$, then only $E_{k, f^2, \frac{1}{f}, \chi}$ is new

Thank you!